



Research Integrity Office

Training in Responsible Conduct of Research (RCR)

Allegations of Research Misconduct

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CITI PROGRAM TRAINING IN RCR



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Please choose the best option below according to your needs.

This question is required. Choose all that apply.

- Take the Responsible Conduct of Research course
- Take the Export Compliance Course.
- Take the Conflict of Interest Course
- Take the Revised Common Rule Course
- Take the Human Subjects Basic Course
- Take the Human Subjects Refresher Course
- Take the Good Clinical Practice course
- Take the Working with the IACUC Course
- Take the Animal Care and Use Course
- Take the Institutional/Signatory Official Course(s)
- Take the Social & Behavioral Research Course in **Spanish**
- Not at this time.

Target populations

- Faculty, postdoctoral fellows, graduate and research-active undergraduate students
- Within 6 months of initiating research

Training modules

- Mentoring
- Authorship
- Peer review
- Research, ethics, and society
- Collaborative research
- Conflicts of interest
- Data management
- Plagiarism
- Research Misconduct

CUNY-WIDE WEBINARS/WORKSHOPS IN RCR

Question #6: Case Study

Professor (P) has an active research program. He asked his undergraduate student (S) to contribute to conducting a literature review and collecting and analyzing data. P is not only S's advisor but is also substantially involved in these activities.

Q: Who deserves authorship?

- P deserves authorship
- S deserves authorship
- Both P & S deserve authorship
- Neither P nor S deserve authorship

Target populations

- Postdoctoral fellows and doctoral students
- Within 6 months of initiating research

Topics covered

- Mentor and trainee responsibilities
- Publication practices and responsible authorship
- Human and animal subject welfare
- Conflicts of interest and commitment
- Data sharing and ownership
- Financial Mismanagement
- International collaborations
- Research misconduct

SPONSORED RESEARCH



NIH requires that trainees and scholars supported by an Institutional Research Training Grant, Fellowship Award, Career Development Award, Research Education Grant, Dissertation Research Grant, or other program with a training component receive 8 hours of face-to-face instruction in RCR.



NSF similarly requires that all undergraduate and graduate students and postdoctoral fellows receive substantive training in RCR, as established by the institution.



Our office can assist faculty and trainees with meeting these requirements.

FAQS

What constitutes “research” for the purposes of RCR training?

- Research is “a systematic experiment, study, evaluation, demonstration or survey, in any academic field, designed to develop or contribute to general knowledge (basic research) or specific knowledge (applied research) by establishing, discovering, developing, elucidating or confirming information about, or the underlying mechanism relating to, causes, functions or effects” ([CUNY Office of Research Integrity and Compliance](#))

I am an ethical researcher. Do I really have to do this?

- RCR training is mandated for all CUNY researchers regardless of funding status.
- Training covers best practices in all aspects of research, not just honesty in reporting.

How do I promote RCR in my lab/among my trainees?

- Be good role model.
- Promote dialogue around best practices throughout students’ training.
- Encourage participation in workshops and review of open-source materials.

RESEARCH MISCONDUCT



Fabrication

Making up data or results and recording or reporting them



Falsification

Manipulating research materials/equipment/processes or changing/omitting data or results such that the research is not accurately represented in research record.



Plagiarism

Appropriating another person's ideas, processes, results, or words without giving appropriate credit.

MISCONDUCT PROCEEDINGS

Allegation

- Complainant makes the allegation orally or in writing.
- RIO determines whether allegation falls within the definition of misconduct and is sufficiently credible and specific that potential evidence could be identified.

Inquiry

- RIO engages in preliminary fact-finding and sequestration of records.
- Submits recommendation to the President/Vice Provost for Research as to whether to move forward with an investigation.

Investigation

- Committee conducts thorough review of research evidence/records.
- Misconduct finding requires (a) significant departure from accepted practices; (b) the misconduct be committed intentionally, knowingly, or recklessly; and (c) the allegation is proven by a preponderance of the evidence.

[CUNY Policy Regarding the Disposition of Allegations of Research Misconduct](#)



CONTACT
INFORMATION

- Our website: <https://rio.baruch.cuny.edu/>
- Kristin Sommer, RIO, rio@baruch.cuny.edu
 - To inquire about RCR or misconduct policies
 - To make allegations of misconduct
 - To submit CITI certificates in RCR
 - To inquire about additional workshop opportunities