

# Research Integrity Office

Training in Responsible Conduct of Research  
Allegations of Research Misconduct

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## CITI PROGRAM TRAINING IN RCR

### Quiz

**Instructions:** Please provide an answer for all questions. Each question is one point. Click on the Submit button to register your answers. After submitting your answers, the correct answer to each question and an explanation will be displayed. Navigational links to the next module will also be provided.

All quiz questions count towards your score. You should answer all questions.

### Question 1

Which of the following most directly describes an act of plagiarism?

- Using text from a previously published source without attribution.
- Submitting a manuscript for publication before enough data have been collected.
- Failing to include someone who provided funding for a project in the acknowledgments section.
- Failing to include someone who provided funding for a project in the author list.

### Target populations

- Faculty, postdoctoral fellows, graduate students and research-active undergraduate students
- Within 6 months of initiating research

### Training modules

- Mentoring
- Authorship
- Peer review
- Research, ethics, and society
- Collaborative research
- Conflicts of interest
- Data management
- Plagiarism
- Research Misconduct

## ACCESSING THE RCR COURSE

1. Go to [citiprogram.org](http://citiprogram.org). If you do not yet have an account, click “Register” then type “CUNY” under “Select your Organization Affiliation.” Register with your CUNY email address. After creating a username and password, return to the home page to login.
2. After logging in, click “Add an Affiliation” and again type in CUNY. Answer the questions that follow.
3. Click “Take the Responsible Conduct of Research course” then select “CUNY Researchers.” You are now ready to begin! CITI will automatically save your work so you can stop and resume at a later time.
4. When you are finished, please send a copy of your Completion Certificate to [rio@baruch.cuny.edu](mailto:rio@baruch.cuny.edu). Include in your email message a brief description of your role (e.g., “faculty member in Management”). Your certificate is good for 4 years.

The screenshot shows the CITI PROGRAM website. At the top, there are links for LOG IN, LOG IN THROUGH MY ORGANIZATION, and REGISTER. A "Click here to register" button is circled in red. Below this is the "Institutional Courses" section, which includes a description and a circled "Add An Affiliation" button. A message asks the user to choose the best option, with a checked box for "Take the Responsible Conduct of Research course". At the bottom, there are sections for "Completion Report" and "Completion Certificate", each with a "View / Print" and "Copy Link" button.

## CUNY-WIDE WEBINARS IN RCR

### Question #6: Case Study

Professor (P) has an active research program. He asked his undergraduate student (S) to contribute to conducting a literature review and collecting and analyzing data. P is not only S's advisor but is also substantially involved in these activities.

#### Q: Who deserves authorship?

- a. P deserves authorship
- b. S deserves authorship
- c. Both P & S deserve authorship
- d. Neither P nor S deserve authorship

### Target populations

- Doctoral students and postdoctoral fellows

### Topics covered

- Mentor and trainee responsibilities
- Publication practices and responsible authorship
- Human and animal subject welfare
- Conflicts of interest and commitment
- Data sharing and ownership
- Financial Mismanagement
- International collaborations
- Research misconduct
- Biosafety
- Export Control

## SPONSORED RESEARCH



NIH requires that trainees and scholars supported by an Institutional Research Training Grant, Fellowship Award, Career Development Award, Research Education Grant, Dissertation Research Grant, or other program with a training component receive 8 hours of face-to-face instruction in RCR.



NSF similarly requires that all undergraduate and graduate students and postdoctoral fellows receive substantive training in RCR, as established by the institution.



My office can assist faculty and trainees with meeting these requirements.

## Training FAQs

### What constitutes “research” for the purposes of RCR training?

- Research is “a systematic experiment, study, evaluation, demonstration or survey, in any academic field, designed to develop or contribute to general knowledge (basic research) or specific knowledge (applied research) by establishing, discovering, developing, elucidating or confirming information about, or the underlying mechanism relating to, causes, functions or effects” ([CUNY Office of Research Integrity and Compliance](#))

### I am an ethical researcher. Why do I have to do this?

- RCR training is mandated for all CUNY researchers regardless of funding status.
- Training covers best practices in all aspects of research, not just honesty in reporting.

### As a faculty member, how do I promote RCR in my lab/among my trainees?

- Be good role model.
- Promote dialogue around best practices throughout students’ training.
- Make use of open-source materials such as instructional videos, case studies and role plays found on [The CUNY Office of Research](#) and [Office of Research Integrity](#) websites.

## Research Misconduct



### Fabrication

Making up data or results and recording or reporting them



### Falsification

Manipulating research materials/equipment/processes or changing/omitting data or results such that the research is not accurately represented in research record.



### Plagiarism

Appropriating another person's ideas, processes, results, or words without giving appropriate credit.

## Misconduct proceedings

### Allegation

- Complainant makes the allegation orally or in writing.
- RIO determines whether allegation falls within the definition of misconduct and is sufficiently credible and specific that potential evidence could be identified.

### Inquiry

- RIO engages in preliminary fact-finding and sequestration of records.
- Submits recommendation to the President/Vice Provost for Research as to whether to move forward with an investigation.

### Investigation

- Committee conducts thorough review of research evidence/records.
- Misconduct finding requires (a) significant departure from accepted practices; (b) the misconduct be committed intentionally, knowingly, or recklessly; and (c) the allegation is proven by a preponderance of the evidence.

[CUNY Policy Regarding the Disposition of Allegations of Research Misconduct](#)

# Contact information

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- Kristin Sommer at [rio@baruch.cuny.edu](mailto:rio@baruch.cuny.edu)
  - To inquire about RCR or misconduct policies
  - To make allegations of misconduct
  - To submit CITI certificates in RCR
  - To inquire about additional workshop opportunities
- Website: [rio.baruch.cuny.edu](http://rio.baruch.cuny.edu)